



Northern Ireland
Assembly

Pension Schemes Bill

Annotated Marshalled List of Amendments
Consideration Stage
Tuesday 4 May 2021

Amendments tabled up to 9.30am Wednesday, 28 April 2021 and selected for debate

The Bill will be considered in the following order-
Clauses, Schedules and Long Title

Amendment 1 [Made]

Schedule 3, Page 43, Line 32

Leave out 'at the end add' and insert 'after sub-paragraph (xii) insert'

Minister for Communities

Amendment 2 [Made]

Schedule 3, Page 43, Line 35

Leave out 'at the end add' and insert 'after sub-paragraph (ix) insert'

Minister for Communities

Amendment 3 [Made]

Schedule 3, Page 43, Line 40

Leave out ‘at the end add’ and insert ‘after sub-paragraph (xi) insert’

Minister for Communities

Amendment 4 [Made]

Schedule 3, Page 43, Line 43

Leave out ‘at the end add’ and insert ‘after sub-paragraph (ix) insert’

Minister for Communities

Amendment 5 [Made]

Schedule 3, Page 44, Line 27

Leave out head (b)

Minister for Communities

Amendment 6 [Made]

Schedule 3, Page 44, Line 28

Leave out head (c) and insert -
‘(c) at the end add “, or’

Minister for Communities

Amendment 7 [Made]

Schedule 3, Page 44, Line 36

At end insert -

‘9A. In Article 68 (inspection of premises), in paragraph (2) before sub-paragraph (e) insert—
“(dc) the Pension Schemes Act (Northern Ireland) 2020;”.’

Minister for Communities

Amendment 8 [Made]

Schedule 3, Page 44, Line 36

At end insert -

‘9B. In Article 72A (fixed penalty notices), in paragraph (6) after “2008” insert “or section 17 of the Pension Schemes Act (Northern Ireland) 2020”.’

Minister for Communities

Amendment 9 [Made]

Schedule 3, Page 44, Line 36

At end insert -

‘9C. In Article 72B (escalating penalty notices), in paragraph (8) after “2008” insert “or section 18 of the Pension Schemes Act (Northern Ireland) 2020”.’

Minister for Communities

Amendment 10 [Made]

Schedule 3, Page 44, Line 36

At end insert -

‘9D. In Article 75 (offences of providing false or misleading information), in paragraph (1)(c)—
(a) omit the “or” at the end of head (iv); and
(b) in head (v), at the end add “, or
(vi) the Pension Schemes Act (Northern Ireland) 2020.”.’

Minister for Communities

Amendment 11 [Made]

Schedule 3, Page 44, Line 36

At end insert -

‘9E. In Article 75A (financial penalty for providing false or misleading information to Regulator), in paragraph (2)(c)—
(a) omit the “or” at the end of head (iv); and
(b) in head (v), at the end add “, or
(vi) the Pension Schemes Act (Northern Ireland) 2020.”.’

Minister for Communities

Amendment 12 [Made]

Schedule 3, Page 44, Line 39

Leave out ‘after sub-paragraph (j)’ and insert ‘before sub-paragraph (k)’

Minister for Communities

Amendment 13 [Made]

Schedule 3, Page 45, Line 5

Leave out sub-paragraph (3) and insert -

‘(3) In paragraph (6), in the definition of “the pensions legislation” at the end add “, or
(h) the Pension Schemes Act (Northern Ireland) 2020.”.’

Minister for Communities

Amendment 14 [Made]

Schedule 3, Page 45, Line 10

Leave out from ‘omit’ to ‘paragraph’ on line 11 and insert ‘before sub-paragraph (q)’

Minister for Communities

Amendment 15 [Made]

Schedule 3, Page 45, Line 15

Leave out ‘after sub-paragraph (ta)’ and insert ‘before sub-paragraph (u)’

Minister for Communities

Amendment 16 [Made]

Schedule 3, Page 45, Line 26

At end insert -

‘12A. In Schedule 1 (the Pensions Regulator), in Part 1 (delegation), in paragraph 2(2), after head (o) add—

“(p) the power to issue a fixed penalty notice under section 17 of the Pension Schemes Act (Northern Ireland) 2020;

(q) the power to issue an escalating penalty notice under section 18 of that Act.”.’

Minister for Communities

Amendment 17 [Made]

Schedule 3, Page 45, Line 27

Leave out from ‘after’ to ‘4’ on line 28 and insert ‘before Part 5’

Minister for Communities

Amendment 18 [Made]

Schedule 3, Page 46, Line 4

Leave out ‘after paragraph (b)’ and insert ‘at the end’

Minister for Communities

Amendment 19 [Made]

Schedule 3, Page 46, Line 8

Leave out from ‘after’ to ‘(b)’ on line 9 and insert ‘at the end’

Minister for Communities

Amendment 20 [Made]

Schedule 3, Page 46, Line 14

At end insert -

‘The Pension Schemes Act (Northern Ireland) 2020

15.—(1) Section 17 (fixed penalty notice for failure to comply with request for information) is amended as follows.

(2) In the heading, at the end insert “etc”.

(3) In subsection (1)—

(a) for “with a” substitute “with—

(a) a”;

(b) after “Part” insert “, or

(b) a notice issued under Article 67A of that Order (interviews), so far as relevant to the exercise of any of the Regulator’s functions under or by virtue of this Part.”.

16.—(1) Section 18 (escalating penalty notice for failure to comply with request for information) is amended as follows.

(2) In the heading, at the end insert “etc”.

(3) In subsection (1)—

(a) for “with a” substitute “with—

(a) a”;

(b) after “notice”)” insert “, or

(b) a notice issued under Article 67A of that Order (interviews), so far as relevant to the exercise of any of the Regulator’s functions under or by virtue of this Part (an “Article 67A notice”).”.

(4) In subsection (2)(a), after “67 notice” insert “or the Article 67A notice”.

(5) In subsection (3), after “67 notice” insert “or an Article 67A notice”.

(6) In subsection (6)—

(a) in paragraph (b), after “notice” insert “or the Article 67A notice”;

(b) in paragraph (e), after “notice” insert “or the Article 67A notice”.’

Minister for Communities