Annexes accompanying the Commissioner for Standards recruitment opportunity

Annex A – Integrity and Conflicts of Interest Information

In 1995, the Committee on Standards in Public Life defined seven principles, which should underpin the actions of all who serve the public in any way.

These are:

Selflessness Holders of public office should take decisions solely in

terms of the public interest. They should not do so in order to gain financial or other material benefits for

themselves, their family, or other friends.

Integrity Holders of public office should not place themselves

under any financial or other obligation to outside

individuals or organisations that might influence them in

the performance of their official duties.

Objectivity In carrying out public business, including making public

appointments, awarding contracts, or recommending individuals for rewards and benefits, holders of public

office should make choices on merit.

Accountability Holders of public office are accountable for their decisions

and actions to the public and must submit themselves to

whatever scrutiny is appropriate to their office.

Openness Holders of public office should be as open as possible

about all the decisions and actions that they take. They

should give reasons for their decisions and restrict

information only when the wider public interest clearly

demands.

Honesty Holders of public office have a duty to declare any private

interests relating to their public duties and to take steps to

resolve any conflicts arising in a way that protects the

public interest.

Leadership Holders of public office should promote and support these

principles by example.

All successful candidates must uphold the principles and values of public service.

What is a conflict of interest?

The highest standards of propriety, involving impartiality, integrity and objectivity are required of the committee. This means that any private, voluntary, charitable or political interest which might be material and relevant to the work of the committee should be declared.

There is always the possibility for real or perceived conflicts of interest to arise. Both are a problem, as the perceived inference of a conflict may, on occasions, be as damaging as the existence of a real conflict.

It is important, therefore, that you consider your circumstances when applying for appointment and identify any potential conflicts of interest, whether real or perceived.

Surely a perceived conflict is not a problem, as long as I act impartially at all times?

The integrity of the individual is not in question here. However, it is necessary for the standing of the committee that members of the public have confidence in their independence and impartiality. Even a perceived conflict of interest on the part a committee member can be extremely damaging to the committee's

reputation and it is therefore essential that these are declared and explored, in the same way as an actual conflict would be. The fact that the committee member acted impartially may be no defence against accusations of potential bias.

What should I do if I think I have a conflict of interest?

You will find a section on the Application Form entitled 'Integrity and Conflicts of Interest' for you to complete. This section of the form requires you to consider and declare whether or not you have a real, or perceived, conflict. If you are unsure if your circumstances constitute a possible conflict, you should still complete this section, in order to give the Selection Panel as much information as possible.

If I declare a conflict, does this mean I will not be considered for appointment?

No – each case is considered individually. If you are selected for interview, the Selection Panel will explore with you how far the conflict might affect your ability to carry out the functions of the committee effectively and impartially and how this might be handled, if you were to be appointed. However, if, following the discussion with you, the Selection Panel believes that the conflict is too great and would call into question the integrity of the appointment they can withdraw your application from the competition.

What happens if I do not declare a known conflict, which is then discovered by the Assembly Commission after my appointment?

Again, each case would be considered on its merits, but the Assembly Commission may take the view that by concealing a conflict of interest, you would have deemed to have breached the Seven Principles of Conduct Underpinning Public Life and may terminate your appointment

What happens if I do not realise a potential conflict exists?

This situation may arise where the applicant is not familiar with the broad range of work which a body covers and therefore does not realise that a conflict might exist. In some cases, the Panel, with their wider knowledge of the body, might deduce that there is a potential conflict issue, based on the information on employment and experience provided by the candidate in the application form.

What happens if a conflict of interest arises after an appointment is made?

This could arise for two main reasons. The first is that the member's circumstances may change, for example, they may change jobs and in doing so, a conflict with their work on the committee becomes apparent. The second is where a member is unfamiliar with the range of the work of the committee, but after appointment, it becomes clear that a conflict exists where none had been envisaged during the appointment process.

In both cases, the issue should be discussed with the Chairperson of the committee and the Clerk/Chief Executive to decide whether or not the member can continue to carry out their role in an appropriate manner and each case is considered individually.

It may be that the conflict is such that it would be impractical for the member to continue on the committee, if they would have to withdraw from a considerable amount of the committee's routine business. In such cases, the member may be asked to stand down from the committee.

Areas where a conflict could arise

There are a number of issues, which could lead to real, or apparent, conflicts of interest. These include:

- Relevant pecuniary or other interests outside the organisation
- Relationships with other individuals/parties/organisations which could lead to perceived or real split loyalties
- Perception of rewards for past contributions or favours
- Membership of some societies or organisations

The above list of issues is not exhaustive, so you should consider carefully your own circumstances to gauge whether or not a real, or perceived, conflict might exist.

Annex B – Staff of the Northern Ireland Assembly Commission

The Clerk/Chief Executive of the Assembly is the senior head of Assembly Commission staff.

The Clerk/Chief Executive is responsible for four Directorates - the Corporate Support Unit, Corporate Services, Parliamentary Services and Legal, Governance and Research Services.

Corporate Support Unit

The Corporate Support Unit is comprised of:

- Commission / Chief Executive's Office
- Speaker's Office

Corporate Services

Corporate Services is comprised of:

- Finance Office
- Human Resources Office
- Equality Unit
- Building Services (including Support Services)
- Usher Services

Parliamentary Services

Parliamentary Services is comprised of:

- Bill Office and Committees
- Business Office and Committees
- Clerking and Member Support (CAMS) and Committees
- Communications Office
- Official Report
- Public Engagement
- Information Systems Office

Legal, Governance and Research Services

Legal, Governance and Research Services is comprised of:

- Legal Services Office
- Office of the Examiner of Statutory Rules
- Research and Information Service
- Procurement Office
- Information Standards Office
- Data Protection and Governance Officer

This organisational structure is also represented in the chart on the next page.

Staff of the Northern Ireland Assembly Commission

